

# Data Dictionary: Outpatients

## Outpatient Data Dictionary

Welcome to the HES Outpatient Data Dictionary. If you have any feedback or suggestions about this document please don't hesitate to contact us

[\[http://www.hesonline.co.uk/Ease/servlet/ContentServer?siteID=1937&categoryID=377\]](http://www.hesonline.co.uk/Ease/servlet/ContentServer?siteID=1937&categoryID=377).

### Introducing outpatient data

The outpatient data set, which is made up of individual records for all outpatient attendances occurring in England, is a rich source of detailed records. These records can be analysed to produce a much wider variety of statistics about patterns of service use than have previously been available from aggregate returns.

There are known weaknesses in the data, but rather than withhold this already useful dataset we have released it as 'experimental' and are seeking feedback from data users to help us bring about rapid improvements and developments needed to support key NHS business and policy areas.

Outpatient data from 2003-04 is currently available in HES. For more information about outpatient data, including data quality tables, please see the HESonline website [\[http://www.hesonline.nhs.uk\]](http://www.hesonline.nhs.uk).

### About the data dictionary

The HES database is made up of many data items relating to patient care delivered by NHS hospitals in England. Many of these items form part of the national CDS (Commissioning Data Set), and are generated by the patient administration systems within each hospital.

In addition to the CDS items, HES provides information that is derived from these. For example, the age of the patient is derived from their date of birth. These derivations assist in the production of aggregate summaries (tabulations), and also help ensure patient confidentiality.

This version of the Outpatient Data Dictionary is split into categories. You can find details of the categories and items within them in the contents list below.

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**HES field name** Appointment date

Field	apptdate
Class	Appointments
Length and format	dd/mm/yyyy (date)
Availability	2003-04 onwards

**Description**

The date when an appointment was scheduled.

**Value**

dd/mm/yyyy = Date of appointment

<b>Data cleaning</b>	Rule 0030
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**HES field name** Attendance identifier

Field	attendid
Class	Appointments
Length and format	12an
Availability	2003-04 onwards

**Description**

A sequential number or time of day, assigned locally, that is unique to only one activity for a patient within an organisation.

As this field is often locally generated, the data in this field are not currently unique within a dataset. However, as the NHS moves towards central systems this should change.

**Value**

12an = Attendance identifier

<b>Data cleaning</b>	Data not cleaned
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**HES field name**    **Attendance type**

Field	atentype
Class	Appointments
Length and format	2n
Availability	2003-04 onwards

**Description**

A field derived from 'first appointment' (firstatt) and 'attended or did not attend' (attended), used to identify if the attendance occurred and whether it was the first or subsequent.

**Value**

From 2008-09 onwards:

- 1 = Attended first appointment
- 2 = Attended subsequent appointment
- 3 = Attended but first/subsequent/tele unknown
- 4 = Did not attend first appointment
- 5 = Did not attend subsequent appointment
- 6 = Did not attend but first/subsequent/tele unknown
- 7 = Patient cancelled first appointment
- 8 = Patient cancelled subsequent appointment
- 9 = Patient cancelled but first/subsequent/tele unknown
- 10 = Hospital postponed/cancelled first appointment
- 11 = Hospital postponed/cancelled subsequent appointment
- 12 = Hospital postponed/cancelled but first/subsequent unknown
- 13 = Not known
- 21 = Attended first tele consultation
- 22 = Attended subsequent tele consultation
- 24 = Did not attend first tele consultation
- 25 = Did not attend subsequent tele consultation
- 27 = Patient cancelled first tele consultation
- 28 = Patient cancelled subsequent tele consultation
- 30 = Hospital postponed/cancelled first tele consultation
- 31 = Hospital postponed/cancelled subsequent tele consultation

Until 2008-09:

- 1 = Attended first appointment
- 2 = Attended subsequent appointment
- 3 = Attended but first/subsequent unknown
- 4 = DNA first appointment
- 5 = DNA subsequent appointment
- 6 = DNA but first/subsequent unknown
- 7 = Patient cancelled first appointment
- 8 = Patient cancelled subsequent appointment
- 9 = Patient cancelled but first/subsequent unknown
- 10 = Hospital cancelled first appointment
- 11 = Hospital cancelled subsequent appointment
- 12 = Hospital cancelled but first/subsequent unknown
- 13 = Not known

<b>Data cleaning</b>	Rule 0200
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**HES field name** **Attended or did not attend**

Field	attended
Class	Appointments
Length and format	1n
Availability	2003-04 onwards

**Description**

This indicates whether or not a patient attended for an appointment. If the patient did not attend it also indicates whether or not advanced warning was given.

**Value**

- 0 = Not applicable - appointment occurs in the future
- 2 = Appointment cancelled by, or on behalf of, the patient
- 3 = Did not attend - no advance warning given
- 4 = Appointment cancelled or postponed by the health care provider
- 5 = Seen, having attended on time or, if late, before the relevant care professional was ready to see the patient
- 6 = Arrived late, after the relevant care professional was ready to see the patient, but was seen
- 7 = Did not attend - patient arrived late and could not be seen
- 9 = Not known

Data cleaning	Rule 0130
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**HES field name** **Days waiting**

Field	waiting
Class	Appointments
Length and format	10n
Availability	2003-04 onwards

**Description**

'Waiting' gives the period in days between the date of the appointment date and either the referral request received date (reqdate) or the DNA (did not attend) date, if given.

If the calculation returns a negative the waiting time is set as null. Waiting time is not calculated if reqdate is missing or invalid. (Waitind (see below) indicates how and whether waiting time has been calculated).

Note: As records can relate to DNAs, it is possible to have a waiting time for the record even where the patient didn't attend.

**Value**

10n = Days waiting

Data cleaning	Rule 0270
---------------	-----------

**HES field name** First attendance

Field	firstatt
Class	Appointments
Length and format	1n
Availability	2003-04 onwards

## Description

Gives an indication of whether a patient is making a first attendance or follow-up attendance, and whether the consultation was face-to-face or via telephone/telemedicine consultation.

## Value

1 = First attendance face to face  
 2 = Follow-up attendance face to face  
 3 = First telephone or telemedicine consultation  
 4 = Follow-up telephone or telemedicine consultation  
 5 = Referral To Treatment Clock Stop Administrative Event  
 X = Not known

Data cleaning	Rule 0140
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**HES field name** Last DNA or patient cancelled date

Field	DNAdate
Class	Appointments
Length and format	dd/mm/yyyy (date)
Availability	2003-04 onwards

## Description

This is recorded when patients who have been offered an appointment date have missed this date with or without advance notice.

## Value

dd/mm/yyyy = Last DNA (did not attend) or patient cancelled date

Data cleaning	Rule 0040
---------------	-----------

**HES field name**    **Location class**

Field	locclass
Class	Appointment
Length and format	2n
Availability	2003-04 onwards

**Description**

A classification for use within CDS messages of the physical location within which the recorded patient event occurs.

**Value**

- 01 = Health site (general occurrence)
- 02 = Home
- 03 = Delivery place
- 04 = Health site at the start of health care activity
- 05 = Health site at the end of health care activity
- 99 = Not known

**Data cleaning**    Rules 0485 and 0490

HES field name	Location type
Field	loctype
Class	Appointment
Length and format	3n
Availability	2007-08, Quarter 3 onwards
Description	
This records the type of location. The definition of this field is currently under review.	
Value	
<p>001 = Client's or patient's home  002 = Health centre  003 = GP practice premises other than health centre  004 = Ward on NHS hospital site  006 = Hospice  007 = Other voluntary or private hospital or nursing home  008 = Group home managed by the NHS  009 = Group home managed by local authority  010 = Group home managed by voluntary or private agents  011 = Other residential care homes managed by local authority  012 = Other residential care homes managed by voluntary or private agents  013 = NHS day care facility on NHS hospital site  014 = NHS day care facility on other sites  015 = Day centre managed by local authority  016 = Day centre managed by voluntary or private agents  017 = NHS consultant clinic premises on an NHS hospital site  018 = NHS consultant clinic premises off an NHS hospital site  019 = Health clinic managed by the NHS  020 = Health clinic managed by voluntary or private agents  021 = Resource centre on NHS hospital site  022 = Resource centre managed by the NHS off NHS hospital site  023 = Resource centre managed by local authority  024 = Resource centre managed by voluntary or private agents  025 = Professional staff group department on NHS hospital site  026 = Professional staff group department managed by the NHS off NHS hospital site  027 = Professional staff group department managed by local authority  028 = Professional staff group department managed by voluntary or private agents  029 = Educational establishment premises managed by local authority or grant maintained  030 = Educational establishment premises managed by voluntary or private agents  031 = Other health or local authority facility on NHS hospital site  032 = Other health or local authority site managed by the NHS off NHS hospital site  033 = Other health or local authority site managed by local authority  034 = Other health or local authority site managed by voluntary or private agents  035 = Prison department establishments  036 = Public place or street, or police station  037 = Other locations not classified elsewhere  038 = NHS nursing home  039 = Other residential care homes managed by the NHS</p>	
Data cleaning	Data not cleaned

**HES field name** Medical staff type seeing patient

Field	stafftyp
Class	Appointments
Length and format	2n
Availability	2003-04 onwards

**Description**

Gives information about the type of care professional staff dealing with the patient during a consultant outpatient attendance, or nurse or midwife contact.

**Value**

01 = Consultant (retired 1 April 2005)  
 02 = Member of consultant firm (retired 1 April 2005)  
 03 = Lead care professional (from 1 April 2005)  
 04 = Member of care professional team (from 1 April 2005)  
 08 = Not applicable  
 09 = Not known  
 99 = Not known: a validation error

Data cleaning	Rules 0110 and 0120
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**HES field name** Outcome of attendance

Field	outcome
Class	Appointments
Length and format	1n
Availability	2003-04 onwards

**Description**

This records the outcome of an outpatient attendance.

**Value**

1 = Discharged from consultant's care (last attendance)  
 2 = Another appointment given  
 3 = Appointment to be made at a later date  
 9 = Not known

Data cleaning	Rule 0170
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HES field name	Priority type
Field	priority
Class	Appointments
Length and format	1n
Availability	2003-04 onwards
Description	
<p>This is the priority of a request for services in the case of services to be provided by a consultant, it is as assessed by or on behalf of the consultant.</p>	
Value	
<p>1 = Routine                  2 = Urgent                  3 = Two week wait                  9 = Not known</p>	
Data cleaning	Rules 0070 and 0080

HES field name	Referral request received date
Field	reqdate
Class	Appointments
Length and format	dd/mm/yyyy (date)
Availability	2003-04 onwards
Description	
<p>This field records the date the referral request was received by the healthcare provider.</p>	
Value	
<p>dd/mm/yyyy = Referral request received date</p>	
Data cleaning	Rule 0035

**HES field name**    **Service type requested**

Field	servtyp
Class	Appointments
Length and format	1n
Availability	2003-04 onwards

**Description**

Describes the terms of reference for the referral request.

**Value**

- 1 = Advice/consultation
- 2 = Specific procedure
- 3 = Other
- 9 = Not known

<b>Data cleaning</b>	Rule 0150
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HES field name	Source of referral
Field	refsourc
Class	Appointments
Length and format	2n
Availability	2003-04 onwards
Description	
A classification which is used to identify the source of referral of each consultant outpatient episode.	
Value	
Initiated by the consultant responsible for the outpatient attendance:	
01 = Following an emergency admission	
02 = Following a domiciliary visit	
10 = Following an accident and emergency attendance	
11 = Other	
Not initiated by the consultant responsible for the outpatient attendance:	
03 = Referral from a general medical practitioner	
04 = Referral from an accident and emergency department	
05 = Referral from a consultant, other than in an accident and emergency department	
06 = Self referral	
07 = Referral from prosthetist	
12 = Referral from GP with special interest	
13 = Referral from a specialist nurse (secondary care)	
14 = Referral from an allied health professional	
15 = Referral from an optometrist	
16 = Referral from a orthoptist	
17 = Referral from a national screening programme	
92 = General dental practitioner	
93 = Community dental service	
08 = Other source of referral	
97 = Other – not initiated by the consultant responsible for the consultant outpatient episode	
99 = Not known	
Data cleaning	Rules 0155 and 0160

**HES field name** **Waiting calculation indicator**

Field	waitind
Class	Appointments
Length and format	1n
Availability	2003-04 onwards

**Description**

Waitind indicates how and whether waiting time has been calculated.

**Value**

0 = Waiting time calculated

1 = Referral request received date is null and a waiting time has not been calculated and is set as null

2 = Referral request received date is < 1/1/1970 and a waiting time has not been calculated and is set as null

3 = Referral request date > appointment date and a waiting time has not been calculated and is set as null

4 = DNA date is present but invalid and can not be used to calculate waiting time. A waiting time has not been calculated and is set as null

5 = Referral request received date is invalid and a waiting time has not been calculated and is set as null

Data cleaning	Rule 0270
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**HES field name** **Waiting time weeks**

Field	waitweeks
Class	Appointments
Length and format	10n
Availability	2003-04 onwards

**Description**

Completed weeks of wait. Used to ensure comparability with definition used in QM08 returns.

**Value**

10n = Waiting time weeks

Data cleaning	Not applicable
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## Clinical

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**HES field name** **Diagnosis**

Field	diag_nn
Class	Clinical
Length and format	6an
Availability	2003-04 onwards

**Description**

There are twelve fields (two before April 2007), diag\_01 to diag\_12, which contain information about a patient's illness or condition.

The field diag\_01 contains the primary diagnosis. The other fields contain secondary/subsidiary diagnoses. The codes are defined in the International Statistical Classification of Diseases, Injuries and Causes of Death. HES records currently use the tenth revision (ICD-10). Diagnosis codes start with a letter and are followed by two or three digits.

The third digit identifies variations on a main diagnosis code containing two digits. The third digit is preceded by a full stop in ICD-10, but this is not stored in the field.

**Value**

6an = A valid ICD-10 diagnosis code  
null = Not applicable

**Data cleaning** Rules 0600, 0630, 0640, 0650, 0660, 0670

**HES field name** **Main operation - 3 character**

Field	oper3
Class	Clinical
Length and format	3an
Availability	2003-04 onwards

**Description**

This provides the first three characters of the main operation (oper\_01).

**Value**

3an = Procedure code  
- = No operation performed  
& = Not known

**Data cleaning** 0515, 0525

**HES field name** Operative procedure

Field	oper_nn
Class	Clinical
Length and format	4an
Availability	2003-04 onwards

**Description**

There are twenty-four fields (twelve before April 2007), oper\_01 to oper\_24, which contain information about a patient's operations.

The field oper\_01 contains the main (ie most resource intensive) procedure. The other fields contain secondary procedures. The codes are defined in the Tabular List of the Classification of Surgical Operations and Procedures.

The current version is OPCS4. Procedure codes start with a letter and are followed by two or three digits. The third digit identifies variations on a main procedure code containing two digits.

The third digit is preceded by a full stop in OPCS4, but this is not stored in the field. A single operation may contain more than one procedure.

**Value**

4an = Procedure code

- = No operation performed

& = Not known

X998 = Outpatient procedure carried out but no appropriate OPCS-4 code available

X999 = No outpatient procedure carried out

X997 = Not known

**Data cleaning** Rules 0610, 0620, 0680, 0690

HES field name	Main specialty
Field	mainspef
Class	Clinical
Length and format	3n
Availability	2003-04 onwards
Description	

A code that defines the specialty under which the consultant is contracted. Compare with 'treatment specialty' (tretspef), the specialty under which the consultant worked.

#### Value

100 = General surgery  
 101 = Urology  
 110 = Trauma and orthopaedics  
 120 = Ear, nose and throat (ENT)  
 130 = Ophthalmology  
 140 = Oral surgery  
 141 = Restorative dentistry  
 142 = Paediatric dentistry  
 143 = Orthodontics  
 145 = Oral and maxillo facial surgery (available from 2004-05)  
 146 = Endodontics (available from 2004-05)  
 147 = Periodontics (available from 2004-05)  
 148 = Prosthodontics (available from 2004-05)  
 149 = Surgical dentistry (available from 2004-05)  
 150 = Neurosurgery  
 160 = Plastic surgery  
 170 = Cardiothoracic surgery  
 171 = Paediatric surgery  
 180 = Accident and emergency (A&E)  
 190 = Anaesthetics  
 191 = Pain management (available for 2003-04 only)  
 192 = Critical care medicine (available from 2004-05)  
 199 = Non-UK provider - specialty function not known, treatment mainly surgical  
 300 = General medicine  
 301 = Gastroenterology  
 302 = Endocrinology  
 303 = Clinical haematology  
 304 = Clinical physiology  
 305 = Clinical pharmacology  
 310 = Audiological medicine  
 311 = Clinical genetics  
 312 = Clinical cytogenetics and molecular genetics  
 313 = Clinical immunology and allergy  
 314 = Rehabilitation  
 315 = Palliative medicine  
 320 = Cardiology  
 321 = Paediatric cardiology (available from 2004-05)  
 330 = Dermatology  
 340 = Respiratory medicine (also known as thoracic medicine)  
 350 = Infectious diseases  
 352 = Tropical medicine (available from 2004-05)  
 360 = Genito-urinary medicine  
 361 = Nephrology  
 370 = Medical oncology

371 = Nuclear medicine  
400 = Neurology  
401 = Clinical neuro-physiology  
410 = Rheumatology  
420 = Paediatrics  
421 = Paediatric neurology  
430 = Geriatric medicine  
450 = Dental medicine specialties  
460 = Medical ophthalmology  
499 = Non-UK provider - specialty function not known, treatment mainly medical  
501 = Obstetrics (prior to 2004-05: Obstetrics for patients using a hospital bed or delivery facilities)  
502 = Gynaecology  
560 = Midwifery  
600 = General medical practice  
601 = General dental practice  
610 = General practice with maternity function (available for 2003-04 only)  
620 = General practice other than maternity (available for 2003-04 only)  
700 = Learning disability (previously known as mental handicap)  
710 = Adult mental illness  
711 = Child and adolescent psychiatry  
712 = Forensic psychiatry  
713 = Psychotherapy  
715 = Old age psychiatry  
800 = Clinical oncology (previously radiotherapy)  
810 = Radiology  
820 = General pathology  
821 = Blood transfusion  
822 = Chemical pathology  
823 = Haematology  
824 = Histopathology  
830 = Immunopathology  
831 = Medical microbiology  
832 = Neuropathology (available for 2003-04 only)  
900 = Community medicine  
901 = Occupational medicine  
902 = Community health services - dental (available from 2004-05)  
903 = Public health medicine (available from 2004-05)  
904 = Public health dental (available from 2004-05)  
950 = Nursing episode  
960 = Allied health professional episode (available from 2006-07)  
null = Other maternity event  
& = Not known

Data cleaning

Rules 0090 and 0350

**HES field name**    **Operation status code**

Field	operstat
Class	Clinical
Length and format	1n
Availability	2003-04 onwards

**Description**

Status of operation.

**Value**

1 = One or more operative procedures carried out  
 8 = Not applicable, ie no operative procedures performed or intended  
 9 = Not known, ie finished episode but no data entered or the episode is unfinished and no data needs to be present. (This would only be a validation error for a finished episode.)

<b>Data cleaning</b>	None
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**HES field name**    **Primary diagnosis - 3 character**

Field	diag3
Class	Clinical
Length and format	3an
Availability	2003-04 onwards

**Description**

This provides the first three characters of the primary diagnosis (diag\_01).

**Value**

3an = A valid ICD-10 diagnosis code  
 null = Not applicable

<b>Data cleaning</b>	Not applicable (derived from a cleaned field)
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**HES field name** Primary diagnosis - 4 character

Field	diag4
Class	Clinical
Length and format	4an
Availability	2003-04 onwards

**Description**

This provides the first four characters of the primary diagnosis (diag\_01).

**Value**

4an = A valid ICD-10 diagnosis code  
null = Not applicable

**Data cleaning** Rule 0460 and 0470

**HES field name** Primary diagnosis chapter

Field	chapter
Class	Clinical
Length and format	4an
Availability	

**Description**

This provides the chapter of the primary diagnosis (diag\_01).

**Value**

Chapters I to XXII

**Data cleaning**

**HES field name**    **Treatment specialty**

Field	tretspef
Class	Clinical
Length and format	3n
Availability	2003-04 onwards

**Description**

This field contains a code that defines the specialty in which the consultant was working during the period of care. It can be compared with mainspef, the specialty under which the consultant is contracted.

From April 2004 a new list of treatment specialties was introduced (see below). The new list describes the specialised service within which the patient was treated.

**Value**

100 = General surgery  
101 = Urology  
102 = Transplantation surgery (includes renal and liver transplants, excludes cardiothoracic transplantation (from 1 April 2004)  
103 = Breast surgery (includes suspected neoplasms, cysts etc, does not include cosmetic surgery) (from April 2004)  
104 = Colorectal surgery (surgical treatment of disorders of the lower intestine - colon, anus and rectum) (from 1 April 2004)  
105 = Hepatobiliary & pancreatic surgery (includes liver surgery but excludes liver transplantation see transplantation surgery) (from 1 April 2004)  
106 = Upper gastrointestinal surgery (from 1 April 2004)  
107 = Vascular surgery (from 1 April 2004)  
110 = Trauma & orthopaedics  
120 = Ear, nose and throat (ENT)  
130 = Ophthalmology  
140 = Oral surgery  
141 = Restorative dentistry (endodontics, periodontics and prosthodontics)  
142 = Paediatric dentistry  
143 = Orthodontics  
144 = Maxillo-facial surgery (from 1 April 2004)  
150 = Neurosurgery  
160 = Plastic surgery  
161 = Burns care (recognised specialist services only - includes 'outreach' facilities)  
170 = Cardiothoracic surgery (where there are no separate services for cardiac and thoracic surgery)  
171 = Paediatric surgery  
172 = Cardiac surgery (from 1 April 2004)  
173 = Thoracic surgery (from 1 April 2004)  
174 = Cardiothoracic transplantation (recognised specialist services only - includes 'outreach' facilities) (from 1 April 2004)  
180 = Accident & emergency (A&E)  
190 = Anaesthetics (from 1 April 2006)  
191 = Pain management (complex pain disorders requiring diagnosis and treatment by a specialist multi-professional team)  
192 = Critical care medicine (also known as intensive care medicine) (from 1 April 2004)  
199 = Non-UK provider - specialty function not known, treatment mainly surgical (from 1 April 2006)  
211 = Paediatric urology (from 1 April 2006)  
212 = Paediatric transplantation surgery (from 1 April 2006)  
213 = Paediatric gastrointestinal surgery (from 1 April 2006)  
214 = Paediatric trauma and orthopaedics (from 1 April 2006)  
215 = Paediatric ear, nose and throat (from 1 April 2006)  
216 = Paediatric ophthalmology (from 1 April 2006)  
217 = Paediatric maxillo-facial surgery (from 1 April 2006)  
218 = Paediatric neurosurgery (from 1 April 2006)  
219 = Paediatric plastic surgery (from 1 April 2006)  
220 = Paediatric burns unit (from 1 April 2006)

221 = Paediatric cardiac surgery (from 1 April 2006)  
222 = Paediatric thoracic surgery (from 1 April 2006)  
241 = Paediatric pain management (from 1 April 2006)  
242 = Paediatric intensive care (from 1 April 2006)  
251 = Paediatric gastroenterology (from 1 April 2006)  
252 = Paediatric endocrinology (from 1 April 2006)  
253 = Paediatric clinical haematology (from 1 April 2006)  
254 = Paediatric audiological medicine (from 1 April 2006)  
255 = Paediatric clinical immunology and allergy (from 1 April 2006)  
256 = Paediatric infectious diseases (from 1 April 2006)  
257 = Paediatric dermatology (from 1 April 2006)  
258 = Paediatric respiratory medicine (from 1 April 2006)  
259 = Paediatric nephrology (from 1 April 2006)  
260 = Paediatric medical oncology (from 1 April 2006)  
261 = Paediatric metabolic disease (from 1 April 2006)  
262 = Paediatric rheumatology (from 1 April 2006)  
280 = Paediatric interventional radiology (from 1 April 2006)  
290 = Community paediatrics (from 1 April 2006)  
291 = Paediatric neuro-disability (from 1 April 2006)  
300 = General medicine  
301 = Gastroenterology  
302 = Endocrinology  
303 = Clinical haematology  
304 = Clinical physiology (from 2008-09 onwards)  
305 = Clinical pharmacology  
306 = Hepatology (also known as liver medicine) (from 1 April 2004)  
307 = Diabetic medicine (from 1 April 2004)  
308 = Bone and marrow transplantation (previously part of clinical haematology) (from 1 April 2004)  
309 = Haemophilia (previously part of clinical haematology) (from 1 April 2004)  
310 = Audiological medicine  
311 = Clinical genetics  
312 = Not a treatment function  
313 = Clinical immunology and allergy (where there are no separate services for clinical immunology and allergy)  
314 = Rehabilitation  
315 = Palliative medicine  
316 = Clinical immunology (from 1 April 2004)  
317 = Allergy (from 1 April 2004)  
318 = Intermediate care (encompasses a range of multidisciplinary services designed to safeguard independence by maximising rehabilitation and recovery) (from 1 April 2004)  
319 = Respite care (from 1 April 2004)  
320 = Cardiology  
321 = Paediatric cardiology (from 1 April 2004)  
322 = Clinical microbiology (from 1 April 2004)  
323 = Spinal injuries (from 1 April 2006)  
324 = Anticoagulant service  
330 = Dermatology  
340 = Thoracic medicine (also known as respiratory medicine)  
341 = Respiratory Physiology (previously known as Sleep studies) (from 1 April 2004)  
350 = Infectious diseases  
352 = Tropical medicine (from 1 April 2004)  
360 = Genito-urinary medicine  
361 = Nephrology  
370 = Medical oncology  
371 = Nuclear Medicine (from 2008-09)  
400 = Neurology  
401 = Clinical Neurophysiology (from 2008-09)  
410 = Rheumatology  
420 = Paediatrics  
421 = Paediatric neurology  
422 = Neonatology (from 1 April 2004)  
424 = Well babies (care given by the mother/substitute, with nursing advice if needed) (from 1 April 2004)  
430 = Geriatric medicine  
450 = Dental medicine  
460 = Medical ophthalmology  
499 = Non-UK provider - specialty function not known, treatment mainly medical  
500 = Not a treatment function (from 1 April 2004)  
501 = Obstetrics  
502 = Gynaecology

503 = Gynaecological oncology (from 1 April 2004)  
510 and 520 = Not treatment functions  
560 = Midwife episode  
600 = Not treatment functions  
610 = General practice with maternity function (until 31 March 2004)  
620 = General practice other than maternity (until 31 March 2004)  
650 = Physiotherapy (from 2006-07)  
651 = Occupational therapy (from 2006-07)  
652 = Speech and language therapy (from 2006-07)  
653 = Podiatry (from 2006-07)  
654 = Dietetics (from 2006-07)  
655 = Orthoptics (from 2006-07)  
656 = Clinical psychology (from 2006-07)  
700 = Learning disability (previously known as mental handicap)  
710 = Adult mental illness  
711 = Child and adolescent psychiatry  
712 = Forensic psychiatry  
713 = Psychotherapy  
715 = Old age psychiatry  
720 = Eating disorder (from 1 April 2006)  
721 = Addiction services (from 1 April 2006)  
722 = Liaison psychiatry (from 1 April 2006)  
723 = Psychiatric intensive care (from 1 April 2006)  
724 = Perinatal psychiatry (from 1 April 2006)  
800 = Clinical oncology (previously known as Radiotherapy)  
810 = Radiology (until 31 March 2005)  
811 = Interventional radiology (from 1 April 2004)  
812 = Diagnostic imaging (from 2008-09)  
820 = General pathology (until 31 March 2004)  
821 = Blood transfusion (until 31 March 2004)  
822 = Chemical pathology  
823 = Haematology (until 31 March 2004)  
824 = Histopathology (until 31 March 2004)  
830 = Immunopathology (until 31 March 2004)  
831 = Medical microbiology (until 31 March 2004)  
832 = Neuropathology (until 31 March 2004)  
840 = Audiology (from 2008-09)  
900 = Community medicine (until 31 March 2004)  
901 = Occupational medicine (until 31 March 2004)  
950 = Nursing episode (until 31 March 2004)  
null = Other maternity event  
& = Not known

Data cleaning

Rule 0100

## Geographical

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**HES field name** Cancer registry

Field	canreg
Class	Geographical
Length and format	5an
Availability	From 2008-09 onwards

## Description

The cancer registry that each postcode falls within. Further information on Cancer Registries can be found on the Department of Health website

[\[http://www.dh.gov.uk/en/Healthcare/NationalServiceFrameworks/Cancer/DH\\_4068586\]](http://www.dh.gov.uk/en/Healthcare/NationalServiceFrameworks/Cancer/DH_4068586).

## Value

Y0201-Y1701 = Cancer Registry in England/Wales

Z9999 = Scotland/NI/Channel Island/Isle of Man (pseudo)

Null = no information available.

**Data cleaning** Not applicable (derived from postcode)

**HES field name** Cancer network

Field	cannet
Class	Geographical
Length and format	3an
Availability	From 2008-09 onwards

## Description

The cancer network that each postcode falls within. Further information on Cancer Network can be found on the Department of Health website

[\[http://www.dh.gov.uk/en/Healthcare/NationalServiceFrameworks/Cancer/DH\\_4068463\]](http://www.dh.gov.uk/en/Healthcare/NationalServiceFrameworks/Cancer/DH_4068463).

## Value

N01-N98 = Cancer Registry in England/Wales/Isle of Man

Z99 = Scotland/Northern Ireland/Channel Islands (pseudo)

Null = no information available.

**Data cleaning** Not applicable (derived from postcode)

**HES field name** Census output area, 2001

Field	Oacode
Class	Geographical
Length and format	10an
Availability	2003-04 onwards

**Description**

Census Output Areas (OAs) are small geographical areas that cover similar population sizes, according to the 2001 census, and are as socially homogenous as possible.

There are 165,665 Census Output Areas in England. The first six characters of this derived field represent the 2003 statistical ward.

The 'census output area, 2001' (oacode) field contains sensitive data. Access to it requires the approval of the Database Monitoring Sub-Group (DMSG).

**Value**

10an = Census Output Area code, 2001

<b>Data cleaning</b>	Data is not cleaned
----------------------	---------------------

**HES field name** Census output area, 2001 (6 character)

Field	oacode6
Class	Geographical
Length and format	6an
Availability	2003-04 onwards

**Description**

A derived field showing the six-character ward identifier contained in the Census Output Area data.

The structure is CCDDWW, where:

CC = county

DD = district or UA

WW = ward.

**Value**

6an = 2001 census output area

<b>Data cleaning</b>	Data is not cleaned
----------------------	---------------------

**HES field name** County of residence

Field	rescty
Class	Geographical
Length and format	2an
Availability	2003-04 onwards

**Description**

This field contains a code that defines the county of residence of the patient. It is derived from the patient's postcode in the field homeadd (postcode of patient).

**Value**

00 = Unitary authority  
09 = Bedfordshire  
11 = Buckinghamshire  
12 = Cambridgeshire  
13 = Cheshire  
15 = Cornwall and Isles of Scilly  
16 = Cumbria  
17 = Derbyshire  
18 = Devon  
19 = Dorset  
20 = Durham  
21 = East Sussex  
22 = Essex  
23 = Gloucestershire  
24 = Hampshire  
26 = Hertfordshire  
29 = Kent  
30 = Lancashire  
31 = Leicestershire  
32 = Lincolnshire  
33 = Norfolk  
34 = Northamptonshire  
35 = Northumberland  
36 = North Yorkshire  
37 = Nottinghamshire  
38 = Oxfordshire  
39 = Shropshire  
40 = Somerset  
41 = Staffordshire  
42 = Suffolk  
43 = Surrey  
44 = Warwickshire  
45 = West Sussex  
46 = Wiltshire  
47 = Worcestershire  
S = Scotland  
U = England  
W = Wales  
X = Foreign  
Y = Not known  
Z = Northern Ireland

**Data cleaning**

Not applicable (derived by rule 1200)

**HES field name** Current electoral ward

Field	currward
Class	Geographical
Length and format	2a
Availability	2003-04 onwards

**Description**

This derived field contains a code which defines the current electoral ward of the patient. It is derived from the patient's postcode in the field homeadd (postcode of patient). When this field is concatenated with the other local authority component, resladst (local authority district), the result is a unique value.

**Value**

2a = Electoral ward

**Data cleaning** Not applicable (derived by rule 1200)

**HES field name** Electoral ward in 1991

Field	ward91
Class	Geographical
Length and format	6a
Availability	2003-04 onwards

**Description**

This field contains the patient's full frozen 1991 census electoral ward and local authority of residence. It is derived from the patient's postcode in the field homeadd (postcode of patient).

**Value**

6a = Electoral ward

**Data cleaning** Not applicable (derived by rule 1200)

HES field name	<b>Government office region of residence</b>
Field	resgor
Class	Geographical
Length and format	1an
Availability	2003-04 onwards
<b>Description</b>	
<p>This derived field contains a code that defines the government office region of residence of the patient. It is derived from the patient's postcode in the field homeadd (postcode of patient).</p>	
<b>Value</b>	
<p>A = North East            B = North West            D = Yorkshire and The Humber            E = East Midlands            F = West Midlands            G = East of England            H = London            J = South East            K = South West            S = Scotland            U = No fixed abode            W = Wales            X = Foreign, including Channel Isles and Isle of Man            Y = Not known            Z = Northern Ireland</p>	
<b>Data cleaning</b>	Not applicable (derived by rule 1200)

<b>HES field name</b>	<b>Government office region of treatment</b>
Field	gortreat
Class	Geographical
Length and format	1a
Availability	2003-04 onwards
<b>Description</b>	
<p>This field is derived from the hospital provider code (procode). It indicates the GOR (government office region) area within which the treatment took place.</p>	
<b>Value</b>	
<p>A = North East                  B = North West                  D = Yorkshire and The Humber                  E = East Midlands                  F = West Midlands                  G = East of England                  H = London                  J = South East                  K = South West                  Y = Not known</p>	
<b>Data cleaning</b>	Not applicable (derived by rule 1145)

**HES field name** Health authority of residence

Field	resha
Class	Geographical
Length and format	3an
Availability	2003-04 onwards

**Description**

This derived field contains the code for the health authority (HA) of residence in which the patient lived immediately before admission.

Resha is derived from the patient's postcode in the field 'homeadd' (postcode of patient). (This may not be the area where treatment took place (hatreat).) If homeadd is not recognised, resha may be derived from the health authority of residence notified by the hospital (har).

**Value**

3an = Health authority of residence  
 S = Scotland  
 U = England - not otherwise specified  
 W = Wales  
 X = Foreign (including Isle of Man and Channel Islands)  
 Y = Unknown  
 Z = Northern Ireland

**Data cleaning** Not applicable (derived by Rule 1200)

**HES field name** Health authority of treatment

Field	hatreat
Class	Geographical
Length and format	3an
Availability	2003-04 onwards

**Description**

This field indicates the health authority (HA) where the treatment took place. It is derived from the hospital provider code (procode).

**Value**

3an = Health authority of treatment  
 Y = Unknown

**Data cleaning** Not applicable (derived by rule 1145)

**HES field name** Local authority district of residence

Field	resladst
Class	Geographical
Length and format	4a
Availability	2003-04 onwards

**Description**

This derived field contains a code for the patient's county (first two characters) and local authority district (last two characters) of residence. It is derived from the patient's postcode in the field homeadd (postcode of patient).

This field is used in conjunction with currward (current electoral ward) to produce a unique value indicating the ward within a given district where the patient resided (ie because identical currward codes are allocated to many local authority districts, currward is meaningless in isolation).

If the patient is resident within a Unitary Authority, the first two characters will be 00 (zero, zero) and the local authority component may not be useable.

**Value**

4a = Local authority code  
 S = Scotland  
 U = England (NOS)  
 W = Wales  
 Y = Not known  
 Z = Northern Ireland  
 X = Foreign (from 1991 onwards)  
 9999 = Not known

<b>Data cleaning</b>	Not applicable (derived by rule 1200)
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**HES field name** Lower Super Output Area

Field	soal
Class	Geographical
Length and format	10an
Availability	2003-04 onwards

**Description**

Lower Super Output Areas are aggregations of Output Areas.

There are 32,482 Lower Super Output Areas in England. The first character is either E for England or W for Wales. The next two characters are 01 for Lower Super Output Area and the remaining six characters make up the unique 6-digit tag for each zone.

**Value**

10an = Lower Super Output Area  
Z99999999 = Not known

**Data cleaning** Data is not cleaned

**HES field name** Middle Super Output Area

Field	soam
Class	Geographical
Length and format	10an
Availability	2003-04 onwards

**Description**

Middle Super Output Areas are aggregations of Output Areas.

The first character is either E for England or W for Wales.

The next two characters are 02 for Middle Layer and the remaining six characters make up the unique 6-digit tag for each zone. There are 6,780 Middle Super Output Areas in England.

**Value**

10an = Middle Super Output Area  
Z99999999 = Not known

**Data cleaning** Data is not cleaned

**HES field name** Ordnance Survey grid reference

Field	Gridlink
Class	Geographical
Length and format	9n
Availability	2003-04 onwards

**Description**

Ordnance survey postcode grid reference. Gridlink® is the name for a set of branded postcode products produced by the Gridlink Consortium (Royal Mail, Ordnance Survey (GB), General Register Office for Scotland (GROS), Ordnance Survey of Northern Ireland (OSNI) and ONS).

The Gridlink field in HES is only available to the NHS.

**Value**

9n = Ordnance Survey grid reference (4 digits eastings, 5 digits northings)

<b>Data cleaning</b>	Not applicable
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**HES field name** Patient's health authority of residence, provided by NHS

Field	har
Class	Geographical
Length and format	3an
Availability	2003-04 onwards

**Description**

The patient's health authority of residence provided by the NHS.

**Value**

3an = Health authority of residence  
499 = Non-UK Provider  
X98 = Unknown

<b>Data cleaning</b>	Tbc
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**HES field name** Primary care trust of residence

Field	respct
Class	Geographical
Length and format	3an
Availability	2003-04 onwards

**Description**

This derived field contains the code for the primary care trust (PCT) in which the patient lived immediately before admission. It is derived from the patient's postcode in the field homeadd.

**Value**

3an = Primary care trust of residence  
59999 = Unknown  
59898 = Not applicable

**Data cleaning** Not applicable (derived by rule 1200)

**HES field name** Strategic health authority of residence

Field	resstha
Class	Geographical
Length and format	3an
Availability	2003-04 onwards

**Description**

This derived field contains the code for the strategic health authority (SHA) in which the patient lived immediately before admission. It is derived from the patient's postcode in the field homeadd (postcode of patient).

**Value**

3an = Strategic health authority of residence  
Y = Not known

**Data cleaning** Not applicable (derived by rule 1200)

**HES field name** Primary Care Trust area of main provider

Field	pcttreat
Class	Geographical
Length and format	3an
Availability	2003-04 onwards

**Description**

This field is derived from the hospital provider code (procode). It indicates the PCT area of the main provider of treatment. Note that the PCT itself may be the provider of treatment.

Note: This field was formerly known as Primary Care Trust area of treatment.

**Value**

3an = Primary care trust of treatment  
 59898 = Not applicable  
 59999 = Unknown

**Data cleaning** Not applicable (derived by rule 1145)

**HES field name** Region of treatment

Field	rotreat
Class	Geographical
Length and format	3an
Availability	2003-04 onwards

**Description**

This derived field is extracted from the hospital provider code (procode). It defines the Regional Office (RO) where the treatment took place.

**Value**

Y01 = Northern and Yorkshire  
 Y02 = Trent  
 Y07 = West Midlands  
 Y08 = North West  
 Y09 = Eastern  
 Y10 = London  
 Y11 = South East  
 Y12 = South West  
 Y00 = Unknown

**Data cleaning** Not applicable (derived by rule 1145)

**HES field name** Region of residence

Field	resro
Class	Geographical
Length and format	3an
Availability	2003-04 onwards

**Description**

Regional Office (RO) of residence contains the code for the RO in which the patient lived immediately before admission. It is derived from the patient's postcode in the field homeadd (postcode of patient).

**Value**

Y01 = Northern and Yorkshire  
 Y02 = Trent  
 Y07 = West Midlands  
 Y08 = North West  
 Y09 = Eastern  
 Y10 = London  
 Y11 = South East  
 Y12 = South West  
 W00 = Wales  
 S00 = Scotland  
 Z00 = Northern Ireland  
 U00 = England - not otherwise specified  
 Y = Not Known  
 X00 = Foreign (including the Isle of Man and Channel Islands)

**Data cleaning** Not applicable (derived by rule 1200)

**HES field name** Rural / Urban Indicator

Field	rururb_ind
Class	Geographical
Length and format	1n
Availability	2003-04 onwards

**Description**

Describes the nature of an Output Area in terms of its morphology (hamlet, town, urban, etc) and context (sparse or less sparse).

**Value**

1 = Urban =>10K - sparse: Output Area falls within Urban settlements with a population of 10,000 or more and the wider surrounding area is sparsely populated.

2 = Town and Fringe - sparse. Output Area falls within the Small Town and Fringe areas category and the wider surrounding area is sparsely populated.

3 = Village - sparse. Output Area falls within the Village category and the wider surrounding area is sparsely populated.

4 = Hamlet and Isolated dwelling - sparse. Output Area falls within the Hamlet & Isolated Dwelling category and the wider surrounding area is sparsely populated.

5 = Urban =>10K - less sparse. Output Area falls within Urban settlements with a population of 10,000 or more and the wider surrounding area is less sparsely populated.

6 = Town and Fringe - less sparse. Output Area falls within the Small Town and Fringe areas category and the wider surrounding area is less sparsely populated.

7 = Village - less sparse. Output Area falls within the Village category and the wider surrounding area is less sparsely populated.

8 = Hamlet and Isolated Dwelling - less sparse. Output Area falls within the Hamlet & Isolated Dwelling category and the wider surrounding area is less sparsely populated.

9 = postcode in Scotland/NI/Channel Islands/Isle of Man/pseudopostcodes

Space = No information available

<b>Data cleaning</b>	Data is not cleaned
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**HES field name** Site code of treatment

Field	sitetret
Class	Geographical
Length and format	5an
Availability	2003-04 onwards

**Description**

This field contains a code that defines the site on which the patient was treated within an organisation.

**Value**

5an = Site code of treatment  
 89999 = Non-NHS UK provider where no organisation site code has been issued  
 89997 = Not applicable: non-UK provider

Data cleaning	None
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**HES field name** Strategic health authority area of treatment

Field	sthatret
Class	Geographical
Length and format	3an
Availability	2003-04 onwards

**Description**

This field is derived from the hospital provider code (procode). It indicates the strategic health authority (SHA) area within which the treatment took place.

**Value**

3an = Strategic health authority of treatment  
 Y = Unknown

Data cleaning	Not applicable (derived by rule 1145)
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**HES field name** Westminster parliamentary constituency

Field pcon

Class Geographical

Length and format 3n

Availability From 2008-09 onwards

**Description**

The Westminster Parliamentary Constituency code for each postcode. Pseudo codes are included for Channel Islands and Isle of Man. The field will be blank for postcodes with no grid reference.

**Value**

001-529 = England

530-569 = Wales

801-859 = Scotland

701-718 = Northern Ireland

800 = Channel Islands (pseudo)

900 = Isle of Man (pseudo)

**Data cleaning** Not applicable (derived from postcode)

## Healthcare Resource Groups

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**HES field name** NHS-generated HRG code

Field	hrgnhs
Class	Healthcare resource groups
Length and format	3an
Availability	2003-04 onwards

**Description**

The NHS-generated HRG code takes into account the dominant grouping procedure (domproc) and may differ from the HES-derived HRG (hrgorig).

**Value**

3an = HRG code

<b>Data cleaning</b>	Not applicable
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**HES field name** NHS-generated HRG code version number

Field	hrgnhsvn
Class	Healthcare resource groups
Length and format	3an
Availability	2003-04 onwards

**Description**

The version number for NHS-generated HRG code (hrgnhs).

**Value**

3an = NHS-generated HRG code version number

<b>Data cleaning</b>	Not applicable
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HES field name	SUS generated HRG
Field	sushrg
Class	Healthcare resource groups
Length and format	5an
Availability	2009-10 onwards
Description	
The SUS PbR derived healthcare resource group (HRG) code (HRG4 from 2009-10) at Episode level.	
Value	
5an = SUS generated HRG Null = Records that have excluded from PbR in SUS as the activity is outside the scope of PbR.	
Data cleaning	Not applicable

HES field name	SUS generated HRG version number
Field	sushrgvers
Class	Healthcare resource groups
Length and format	3an
Availability	2009-10 onwards
Description	
The version number for the SUS generated HRG code (sushrg).	
Value	
3an = SUS generated HRG version number Null = Submissions made to SUS very close to the inclusion date so have not gone through the full PbR process.	
Data cleaning	Not applicable

**HES field name** Trust derived HRG value

Field	hrgnhs
Class	Healthcare resource groups
Length and format	3an
Availability	2003-04 to 2008-09

**Description**

The Trust derived HRG value as submitted to SUS takes into account the dominant grouping procedure (domproc) and may differ from the HES derived HRG (HRG\_n.n).

**Value**

3an = HRG code

<b>Data cleaning</b>	Not applicable
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**HES field name** Version No. of Trust derived HRG

Field	hrgnhsvn
Class	Healthcare resource groups
Length and format	3an
Availability	2003-04 to 2008-09

**Description**

The version number for the Trust derived HRG value (hrgnhs).

**Value**

3an = Version No. of Trust derived HRG

<b>Data cleaning</b>	Not applicable
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## Organisation

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HES field name	Code of GP practice
Field	gpprac
Class	Organisation
Length and format	6an
Availability	2003-04 onwards
<b>Description</b>	
<p>This field contains a code which defines the practice of the patient's registered GP. It allows the GP to be notified about treatment given to the patient.</p> <p>The registered GP may not be the same as the referring GP. The 'code of GP practice' (gpprac) field contains sensitive data.</p> <p>Access to it requires the approval of the Database Monitoring Sub-Group (DMSG).</p>	
<b>Value</b>	
<p>6an = GP's practice code (English GP's with codes commencing A-P only)  v81998 = Not applicable (Prison/Dentist/MOD/No Practice)  v81999 = Unknown</p>	
<b>Data cleaning</b>	Rule 0440

**HES field name** Commissioner code

Field	purcode
Class	Organisation
Length and format	5an
Availability	2003-04 onwards

**Description**

This field contains a code for the organisation commissioning the patient's treatment. There are six main types of purchaser, the prevalence of each being dependent on the data year:

- Health authorities
- GP fund holders
- Primary care groups
- Primary care trusts
- Private patients
- Department of Health - the Department purchases treatment for patients from abroad (either under EU regulations or other reciprocal agreements) and for certain UK residents whose treatment is centrally financed, notably those treated by special health authorities.

**Value**

5an = Commissioner code

Data cleaning	Rule 340
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**HES field name** Commissioner code status

Field	purval
Class	Organisation
Length and format	1n
Availability	2003-04 onwards

**Description**

A derived field that indicates whether the purchaser code (purcode) is one that is recognised throughout the NHS. If not, the code may have been agreed locally between the hospital provider and the health care purchaser.

**Value**

0 = Purchaser code is not recognised throughout the NHS  
1 = Purchaser code is recognised throughout the NHS

Data cleaning	Rule 1150
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**HES field name** Commissioner's strategic health authority

Field	purstha
Class	Organisation
Length and format	3an
Availability	2003-04 onwards

**Description**

This field contains a code which identifies the strategic health authority (SHA) in which the commissioner is located. It is derived from the purcode field.

**Value**

3an = Commissioner's strategic health authority  
 S = Scotland  
 X = Foreign (including Isle of Man and Channel Islands)  
 Y = Unknown  
 Z = Northern Ireland

<b>Data cleaning</b>	Not applicable (derived by rule 1155)
----------------------	---------------------------------------

**HES field name** Commissioning serial number

Field	csnum
Class	Organisation
Length and format	6an
Availability	2003-04 onwards

**Description**

Contains the commissioning serial number (used in HES to identify OATs – out of area treatments).

**Value**

6an = Commissioning serial number

<b>Data cleaning</b>	None
----------------------	------

**HES field name** Health authority where patient's GP was registered

Field	gppracha
Class	Organisation
Length and format	3an
Availability	2003-04 onwards

**Description**

Provides the health authority area in which the patient's GP is registered.

**Value**

3an = Health authority where patient's GP was registered  
Y = Unknown

**Data cleaning** Not applicable (derived by rule 1110)

**HES field name** Primary care trust area where patient's GP practice was registered

Field	gpprpct
Class	Organisation
Length and format	3an
Availability	2003-04 onwards

**Description**

Provides the primary care trust area in which the patient's GP is registered.

**Value**

3an = Primary care trust where patient's GP was registered  
59898 = Not applicable  
59999 = Unknown

**Data cleaning** Not applicable (derived by rule 1125)

**HES field name** Primary care trust of responsibility

Field	pctcode
Class	Organisation
Length and format	3an
Availability	2003-04 to 2005-06

**Description**

A derived field providing the primary care trust responsible for the patient. Commissioning responsibility for individual patients rests with the primary care trust (PCT) with whom the patient is registered.

This means that patients with a GP in one PCT area may reside in a neighbouring or other area but remain the responsibility of the PCT with whom their GP of registration is associated. PCTs are also responsible for non-registered patients who are resident within their boundaries.

**Value**

3an = Primary care trust  
59898 = Not applicable  
59999 = Unknown

**Data cleaning** Not applicable (derived by rule 1160)

**HES field name** Primary care trust of responsibility – current / historic

Field	pctcode06 / pctcode02
Class	Organisation
Length and format	3an
Availability	2006-07 onwards

**Description**

A derived field providing the primary care trust responsible for the patient. Commissioning responsibility for individual patients rests with the primary care trust (PCT) with whom the patient is registered.

This means that patients with a GP in one PCT area may reside in a neighbouring or other area but remain the responsibility of the PCT with whom their GP of registration is associated. PCTs are also responsible for non-registered patients who are resident within their boundaries.

**Value**

3an = Primary care trust  
59898 = Not applicable  
59999 = Unknown

**Data cleaning** Not applicable (derived by rule 1160)

**HES field name** Provider code

Field	procodet
Class	Organisation
Length and format	5an
Availability	2003-04 onwards

**Description**

This field gives a combination of 3-character and 5-character provider codes. Procodet enables you to view a combined list of codes, and related data, from:

- Primary care trusts (3 character, beginning with 5)
- NHS trusts (3 character, beginning with R. Trusts with associated treatment centres will have an '-X' following their code)
- NHS trust treatment centres (5 character listed separately to the NHS trusts)
- Independent providers (5 character, beginning with 8)
- Independent sector healthcare providers (5 character, beginning with N).

For 3-character codes only see procode (provider code - 3 character) and for 5-character codes only see procode (provider code).

**Value**

5an = Provider code

<b>Data cleaning</b>	Not applicable
----------------------	----------------

**HES field name** Provider code (3 character)

Field	procode3
Class	Organisation
Length and format	3an
Availability	2003-04 onwards

**Description**

A provider code is a unique code that identifies an organisation acting as a health care provider.

The code is managed by the National Administrative Codes Service (NACS) and supports the identification of organisations exchanging information within the NHS.

Procode3 contains only the first three characters (the organisation code) and can be used to identify an individual provider (eg NHS trust or PCT).

**Value**

3an = 3-character provider code

Data cleaning	Not applicable
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**HES field name** Provider code (5 character)

Field	procode
Class	Organisation
Length and format	5an
Availability	2003-04 onwards

**Description**

A provider code is a unique code that identifies an organisation acting as a health care provider.

The code is managed by the National Administrative Codes Service (NACS) and supports the identification of organisations exchanging information within the NHS.

Procode contains the complete NHS provider code (ie organisation code plus site code).

**Value**

5an = 5-character provider code

89997 = UK provider where no organisation code has been issued

89999 = Non-NHS UK provider where no organisation code has been issued

Data cleaning	Not applicable
---------------	----------------

**HES field name** **Provider type**

Field	protype
Class	Organisation
Length and format	Various
Availability	2003-04 onwards

**Description**

Healthcare provider type.

**Value**

Caretrust = Care trust  
 Foundation = NHS foundation trust  
 Indsitetc = Treatment centre at independent sector provider site  
 Otherprov = Other provider organisation  
 PCT = Primary care trust  
 Trust = NHS trust  
 Trustsitetc = Treatment centre at NHS trust site

<b>Data cleaning</b>	Not applicable (derived from reference data)
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**HES field name** **Pseudonymised code of GP practice**

Field	pgpprac
Class	Organisation
Length and format	16an
Availability	2003-04 onwards

**Description**

A pseudonymised version of the code of a patient's registered GP practice (registered GMP).  
 Please note that the registered GP may not be the same as the referring GP (see preferrer).  
 The 'code of GP practice' (gpprac) field contains the actual practice code.

**Value**

16an = Pseudonymised code  
 & = Unknown

<b>Data cleaning</b>	Tbc
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**HES field name Pseudonymised referrer code**

Field	preferrer
Class	Organisation
Length and format	16an
Availability	2003-04 onwards

**Description**

A pseudonymised version of the code of the person referring the patient. The 'person referring patient' (referrer) field contains the actual codes.

However, it is a sensitive field that requires the approval of the Data Monitoring Sub-Group before you can be given access to it.

**Value**

16an = Pseudonymised referrer code  
& = Unknown

Data cleaning	Tbc
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**HES field name Regional office area where patient's GP practice was registered**

Field	gppracro
Class	Organisation
Length and format	3an
Availability	2003-04 onwards

**Description**

Provides the regional office area in which the patient's GP is registered.

**Value**

- Y01 = Northern and Yorkshire Regional Office
- Y02 = Trent Regional Office
- Y07 = West Midlands Regional Office
- Y08 = North West Regional Office
- Y09 = Eastern Regional Office
- Y10 = London Regional Office
- Y11 = South East Regional Office
- Y12 = South West Regional Office
- Y00 = Unknown

Data cleaning	Not applicable (derived by rule 1120)
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**HES field name**    **Strategic health authority area where patient's GP practice was registered**

Field	gpprsth
Class	Organisation
Length and format	3an
Availability	2003-04 onwards

**Description**

Provides the strategic health authority (SHA) area in which the patient's GP is registered.

**Value**

- 3an = Strategic health authority
- S = Scotland
- X = Foreign (including Isle of Man and Channel Islands)
- Y = Unknown
- Z = Northern Ireland

**Data cleaning**    Not applicable (derived by rule 1125)

**Patient**

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**HES field name** Administrative category

Field	admincat
Class	Patient
Length and format	2n
Availability	2003-04 onwards

**Description**

Administrative category on admission.

**Value**

01 = NHS patient, including overseas visitors charged under Section 121 of the NHS Act 1977 as amended by Section 7(12) and (14) of the Health and Medicine Act 1988

02 = Private patient: one who uses accommodation or services authorised under section 65 and/or 66 of the NHS Act 1977 (Section 7(10) of Health and Medicine Act 1988 refers) as amended by Section 26 of the National Health Service and Community Care Act 1990

03 = Amenity patient: one who pays for the use of a single room or small ward in accord with section 12 of the NHS Act 1977, as amended by section 7(12) and (14) of the Health and Medicine Act 1988

04 = A category II patient: one for whom work is undertaken by hospital medical or dental staff within categories II as defined in paragraph 37 of the Terms and Conditions of Service of Hospital Medical and Dental Staff

98 = Not applicable

99 = Not known

Data cleaning	Rule 0480
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**HES field name** Age at activity date

Field	activage
Class	Patient
Length and format	3n
Availability	2007-08, Quarter 3 onwards

**Description**

The patient's age, in years, at the time of activity, such as appointment.

**Value**

3n = Age at activity date

999 = Not known, ie date of birth not known and age cannot be estimated.

Data cleaning	Data not cleaned
---------------	------------------

**HES field name** Age on day of appointment

Field	apptage
Class	Patient
Length and format	4n
Availability	2003-04 onwards

**Description**

This derived field, calculated from appointment date (apptdate) and date of birth (dob), contains the patient's age in whole years:

- From 1 to 115 (1990-91 to 1994-95)
- From 1 to 120 (1995-96 onwards).

For patients under 1 year old, special codes in the range 7001 to 7007 apply.

**Value**

7001 = Less than 1 day  
 7002 = 1 to 6 days  
 7003 = 7 to 28 days  
 7004 = 29 to 90 days (under 3 months)  
 7005 = 91 to 181 days (approximately 3 months to under 6 months)  
 7006 = 1

**Data cleaning** Rules 0280 and 0300

**HES field name** Date of birth - patient

Field	dob
Class	Patient
Length and format	dd/mm/yyyy (date)
Availability	2003-04 onwards

**Description**

This field contains the patient's date of birth. For most enquiries the field startage (age at start of episode) is used.

The Date of birth - patient (dob) field contains sensitive data. Access to it requires the approval of the Database Monitoring Sub-Group (DMSG).

**Value**

dd/mm/yyyy = Patient's date of birth

**Data cleaning** Rule 0020

**HES field name**    **Date of birth check flag - patient**

Field	dob_cfl
Class	Patient
Length and format	1n
Availability	2003-04 onwards

**Description**

Validation of patient's date of birth.

**Value**

- 0 = Valid (or missing because not required)
- 1 = Missing
- 2 = Invalid

<b>Data cleaning</b>	Not applicable
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**HES field name** Ethnic category

Field	ethnos
Class	Patient
Length and format	2an
Availability	2003-04 onwards

**Description**

This field contains a code that specifies some ethnic groups and some nationalities.

Please note that providers are unable to submit this data for outpatients until they move to CDS v6.1, and they are not yet mandated to submit this.

**Value**

An = British (White)  
 Bn = Irish (White)  
 Cn = Any other White background  
 Dn = White and Black Caribbean (Mixed)  
 En = White and Black African (Mixed)  
 Fn = White and Asian (Mixed)  
 Gn = Any other Mixed background  
 Hn = Indian (Asian or Asian British)  
 Jn = Pakistani (Asian or Asian British)  
 Kn = Bangladeshi (Asian or Asian British)  
 Ln = Any other Asian background  
 Mn = Caribbean (Black or Black British)  
 Nn = African (Black or Black British)  
 Pn = Any other Black background  
 Rn = Chinese (other ethnic group)  
 Sn = Any other ethnic group  
 Zn = Not stated  
 X = Not known

**Data cleaning** Rules 0005 and 0010

**HES field name** Local patient identifier

Field	lopatid
Class	Patient
Length and format	10an
Availability	2003-04 onwards

**Description**

This field contains the number used to identify a patient within a health care provider. It may be different from the patient's case note number and may be assigned automatically by the computer system.

The local patient identifier (lopatid) field contains sensitive data. Access to it requires the approval of the Database Monitoring Sub-Group (DMSG).

**Value**

10an = Local patient identifier

<b>Data cleaning</b>	Not applicable
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**HES field name** NHS Number

Field	newnhsno
Class	Patient
Length and format	10n
Availability	2003-04 onwards

**Description**

This field contains the NHS Number of the patient, which is the primary identifier of a person registered for health care it is unique.

Records for babies under six weeks of age and for patients admitted through accident and emergency tend to have null entries for this field.

The NHS Number (newnhsno) field contains sensitive data. Access to it requires the approval of the Database Monitoring Sub-Group (DMSG).

**Value**

10n = NHS Number

<b>Data cleaning</b>	Not applicable
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**HES field name Patient ID – HES generated (original)**

Field	hesid_orig
Class	Patient
Length and format	10n
Availability	2003-04 onwards

**Description**

Uniquely identifies a patient across all data years. It is generated by matching records for the same patient using a combination of NHS Number and local patient identifier, plus the patients' postcode, sex and date of birth.

**Value**

10n = Patient ID – HES generated

**Data cleaning** Not applicable (derived data)

**HES field name Patient identifier – HES generated**

Field	psuedo_hesid
Class	Patient
Length and format	32an
Availability	2003-04 onwards

**Description**

This field uniquely identifies a patient across all data years. It is generated by matching records for the same patient using a combination of NHS Number, local patient identifier, postcode, sex and date of birth.

Customers who request an extract will receive a unique version of the pseudo\_hesid called the extract\_hesid.

Pseudo\_hesid supercedes hesid.

**Value**

10n = Patient identifier – HES generated

**Data cleaning** Tbc

**HES field name** Postcode district of patient's residence

Field	postdist
Class	Patient
Length and format	4an
Availability	2003-04 onwards

**Description**

Contains the outward portion of the patient's postcode (ie all characters to the left of the space).

The code ZZ99 indicates the postcode was either unavailable, or that the patient did not have one (eg because they were normally resident abroad).

**Value**

4an = Postcode district  
ZZ99 = Unavailable / not applicable

<b>Data cleaning</b>	Not applicable (derived data)
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**HES field name**    **Postcode of patient**

Field	homeadd
Class	Patient
Length and format	8an
Availability	2003-04 onwards

**Description**

This field normally contains the patient's home postcode. However, if a patient is away from home for long periods, such as in a university hall of residence, the postcode of their typical residence is used instead.

If the postcode contains fewer than eight characters, spaces are placed between the two parts of the postcode so that the second part always starts at the sixth character position.

The Postcode of patient (homeadd) field contains sensitive data. Access to it requires the approval of the Database Monitoring Sub-Group (DMSG).

**Value**

8an = Postcode

ZZ99 3CZ = England, GB, UK (not otherwise stated)

ZZ99 3VZ = No fixed abode

ZZ99 3WZ = Not known + sundry categories

ZZ99 2WZ = Northern Ireland

ZZ99 1WZ = Scotland

ZZ99 3GZ = Wales

ZZ99 NNN = Other pseudo codes used for patients normally resident abroad (where NNN is the country code listed in the NHS postcode directory)

**Data cleaning**    Rule 330

HES field name	Sex of patient
Field	sex
Class	Patient
Length and format	1n
Availability	2003-04 onwards
Description	
This field contains a code which defines the sex of the patient.	
Value	
1 = Male 2 = Female 9 = Not specified 0 = Not known	
Data cleaning	Rules 0050 and 0060

## Patient Pathway

Organisation code of patient pathway ID issuer (orgppid)	68
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Duration of elective wait (waitdays)	70

**HES field name** Organisation code of patient pathway ID issuer

Field	orgpppid
Class	Patient Pathway
Length and format	5an
Availability	2007-08, Quarter 3 onwards

**Description**

The organisation code of the patient pathway ID issuer. Where Choose and Book has been used, the organisation code for NHS Connecting For Health (X09) is used.

**Value**

5an = Organisation code

Data cleaning	Data not cleaned
---------------	------------------

**HES field name** RTT period start date

Field	rttperstart
Class	Patient Pathway
Length and format	dd/mm/yyyy (Date)
Availability	2007-08, Quarter 3 onwards

**Description**

The start date, for the referral to treatment period.

**Value**

dd/mm/yyyy = RTT period start date

Data cleaning	Data not cleaned
---------------	------------------

HES field name	RTT period status
Field	rttperstat
Class	Patient Pathway
Length and format	2n
Availability	2007-08, Quarter 3 onwards
Description	
	The status of an activity, or anticipated activity, for the referral to treatment period.
Value	
	The first activity in a referral to treatment period:
	10 - First activity
	11 - End of active monitoring
	12 - Consultant referral
	Subsequent activity during a referral to treatment period:
	20 - subsequent activity. Further activities anticipated
	21 - Transfer to another healthcare provider
	Activity that ends a referral to treatment period:
	30 - First treatment - the start of the first treatment that's intended to manage a patient's disease, condition or injury.
	31 - Start of active monitoring, initiated by the patient
	32 - Start of active monitoring, initiated by the care professional
	33 - Failure to attend the first care activity after referral
	34 - Decision not to treat or not further contact required
	35 - Patient decline offered treatment
	36 - Patient died before treatment
	Activity that is not part of a referral to treatment period:
	90 - After treatment - first treatment occurred previously, eg admitted as an emergency from A&E91 - Active monitoring (the patient is observed but not treated)
	92 - Not yet referred
	98 - Not applicable
	Activity where the referral to treatment period status is not yet known: 99 - Not yet known
	99 - Not yet known
Data cleaning	Data not cleaned

**HES field name** RTT period end date

Field	rttperend
Class	Patient Pathway
Length and format	dd/mm/yyyy (Date)
Availability	2007-08, Quarter 3 onwards

**Description**

The end date, for the referral to treatment period.

**Value**

dd/mm/yyyy = RTT period end date

<b>Data cleaning</b>	Data not cleaned
----------------------	------------------

**HES field name** Duration of elective wait

Field	waitdays
Class	Patient Pathway
Length and format	4n
Availability	2007-08, Quarter 3 onwards

**Description**

The number of days that a patient waited from the date when a decision was taken for treatment to when they received the treatment.

**Value**

n4 = Duration of elective wait  
 9998 = Not applicable  
 9999 = Not known

<b>Data cleaning</b>	Not applicable: derived field
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## Practitioner

Code of patient's registered or referring general medical practitioner (reggmp)	72
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**HES field name** Code of patient's registered or referring general medical practitioner

Field	reggmp
Class	Practitioner
Length and format	8an
Availability	2003-04 onwards

**Description**

This is normally the code of the general medical practitioner with whom the patient is registered.

This field contains sensitive data. Access to it requires the approval of the Database Monitoring Sub-Group (DMSG).

**Value**

G9999998 = GP code is unknown  
G9999981 = No registered GP  
R9999981 = No referring GP  
A9999998 = MOD doctor refers  
P9999981 = Prison doctor

<b>Data cleaning</b>	Rule 0430
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HES field name	Consultant code
Field	consult
Class	Practitioner
Length and format	8an
Availability	2003-04 onwards
<b>Description</b>	
<p>The GMC code for the consultant, which identifies the consultant as an individual. Midwife and GP episodes are identified by a special code.</p> <p>The consultant code (consult) field contains sensitive data. Access to it requires the approval of the Database Monitoring Sub-Group (DMSG).</p>	
<b>Value</b>	
<p>8an = Consultant code            C9999998 = Consultant code not known            CD999998 = Dental Consultant: General Medical Council (GMC) number/ General Dental Council (GDC) number not known            D9999998 = Dentist code not known            G9999998 = General Medical Practitioner (GMP) code not known            H9999998 = Other health care professional (from 1 April 2006)            M9999998 = Midwife (from 1 April 2006)            N9999998 = Nurse (from 1 April 2006)</p>	
<b>Data cleaning</b>	Rule 0420

**HES field name** Person referring patient

Field	referrer
Class	Practitioner
Length and format	8an
Availability	2003-04 onwards

**Description**

The code for the person referring the patient. This may be the GMC code for the consultant, or the code that defines the practice of the patient's registered GMP or GDP.

This field contains sensitive data. Access to it requires the approval of the Database Monitoring Sub-Group (DMSG).

**Value**

cnnnnnnn = Consultant's GMC code  
 C9999998 = Consultant General Medical Council (GMC) number not known  
 D9999998 = Dentist, Dental Practice Board (DPB) number not known  
 dnnnnnnn = Dentist's GMC code  
 CD999998 = Dental consultant: GMC / GDC number not known  
 R9999981 = Referrer other than GP, general dental practitioner or consultant  
 X9999998 = Not applicable: no code available (referrer not a GMP, GDP or consultant or emergency admission not via GP or GP not located in England)  
 & = Not known

<b>Data cleaning</b>	Rule 0410
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**HES field name** Pseudonymised code of patient's registered or referring general medical practitioner

Field	preggmp
Class	Practitioner
Length and format	16an
Availability	2003-04 onwards

**Description**

A pseudonymised version of the code of the patient's registered or referring general medical practitioner. The code of patient's registered or referring general medical practitioner (reggmp) field contains the actual codes.

However, it is a sensitive field that requires the approval of the Data Monitoring Sub-Group before you can be given access to it.

**Value**

16an = Pseudonymised registered GP code  
& = Unknown

Data cleaning	Tbc
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**HES field name** Pseudonymised consultant team code

Field	pconsult
Class	Practitioner
Length and format	16an
Availability	2003-04 onwards

**Description**

A pseudonymised version of the General Medical Council (GMC) code for the consultant. The Consultant code (consult) field contains the actual GMC code.

However, it is a sensitive field that requires the approval of the Data Monitoring Sub-Group before you can be given access to it.

**Value**

16an = Pseudonymised consultant team code  
& = Not known

Data cleaning	Tbc
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**HES field name** Referring organisation code

Field	referorg
Class	Practitioner
Length and format	6an
Availability	2003-04 onwards

**Description**

The organisation code of the organisation from which the referral is made, such as GP practice or NHS trust.

The referring organisation code (referorg) field contains sensitive data. Access to it requires the approval of the Database Monitoring Sub-Group (DMSG).

**Value**

6an = Referring organisation code  
X99998 = Not applicable  
X99999 = Not known

<b>Data cleaning</b>	None
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**Socio-economic**

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**HES field name** **IMD Barriers to Housing and Services Domain**

Field	imd04hs
Class	Socio-economic
Length and format	4n
Availability	2003-04 onwards

**Description**

The Index of Multiple Deprivation (IMD) is a measure of multiple deprivation at Super Output Area level.

The IMD has seven domains, one of which is the Barriers to Housing and Services Domain. The purpose of the Barriers to Housing and Services domain is to measure barriers to housing and key local services. This version of the Index was first published in 2004.

See *The English Indices of Deprivation 2004: Summary (revised)* report [\[http://www.communities.gov.uk/documents/communities/pdf/131206.pdf\]](http://www.communities.gov.uk/documents/communities/pdf/131206.pdf) for further details.

**Value**

4n = IMD Barriers to Housing and Services Domain value

<b>Data cleaning</b>	Data not cleaned
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**HES field name** IMD Crime Domain

Field	imd04c
Class	Socio-economic
Length and format	3n
Availability	2003-04 onwards

**Description**

The Index of Multiple Deprivation (IMD) is a measure of multiple deprivation at Super Output Area level. The IMD has seven domains, one of which is the Crime Domain. The purpose of the Crime domain is to measure the incidence of recorded crime for four major crime themes:

1. burglary
2. theft
3. criminal damage
4. violence

This version of the Index was first published in 2004.

See *The English Indices of Deprivation 2004: Summary (revised)* report [\[http://www.communities.gov.uk/documents/communities/pdf/131206.pdf\]](http://www.communities.gov.uk/documents/communities/pdf/131206.pdf) for further details.

**Value**

3n = IMD Crime Domain value

<b>Data cleaning</b>	Data not cleaned
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**HES field name** **IMD Decile Group**

Field	imd04_decile
Class	Socio-economic
Length and format	5n
Availability	2003-04 onwards

**Description**

This field uses the IMD Overall Ranking to identify which one of ten groups a Super Output Area belongs to, from most deprived through to least deprived.

This IMD version was first published in 2004.

See *The English Indices of Deprivation 2004: Summary (revised)* report [<http://www.communities.gov.uk/documents/communities/pdf/131206.pdf>] for further details.

**Value**

Between 1 and 3248 = Most deprived 10%  
 Between 3249 and 6496 = More deprived 10-20%  
 Between 6497 and 9745 = More deprived 20-30%  
 Between 9746 and 12993 = More deprived 30-40%  
 Between 12994 and 16241 = More deprived 40-50%  
 Between 16242 and 19489 = Less deprived 40-50%  
 Between 19490 and 22737 = Less deprived 30-40%  
 Between 22738 and 25986 = Less deprived 20-30%  
 Between 25987 and 29234 = Less deprived 10-20%  
 Between 29235 and 32482 = Least deprived 10%

<b>Data cleaning</b>	Data not cleaned
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**HES field name** IMD Education, Skills and Training Domain

Field	imd04ed
Class	Socio-economic
Length and format	4n
Availability	2003-04 onwards

**Description**

The Index of Multiple Deprivation (IMD) is a measure of multiple deprivation at Super Output Area level. The IMD has seven domains, one of which is the Education, Skills and training Domain.

The purpose of the Education, Skills and training domain is to capture the extent of deprivation in terms of education, skills and training in a local area.

This version of the Index was first published in 2004.

See *The English Indices of Deprivation 2004: Summary (revised)* report [<http://www.communities.gov.uk/documents/communities/pdf/131206.pdf>] for further details.

**Value**

4n = IMD Education, Skills and Training Domain value

<b>Data cleaning</b>	Data not cleaned
----------------------	------------------

**HES field name** IMD Employment Deprivation Domain

Field	imd04em
Class	Socio-economic
Length and format	3n
Availability	2003-04 onwards

**Description**

The Index of Multiple Deprivation (IMD) is a measure of multiple deprivation at Super Output Area level. The IMD has seven domains, one of which is the Employment Deprivation Domain.

This domain measures employment deprivation conceptualised as involuntary exclusion of the working age population from the world of work.

This version of the Index was first published in 2004.

See *The English Indices of Deprivation 2004: Summary (revised)* report [<http://www.communities.gov.uk/documents/communities/pdf/131206.pdf>] for further details.

**Value**

3n = IMD Employment Deprivation Domain value

<b>Data cleaning</b>	Data not cleaned
----------------------	------------------

**HES field name** IMD Health and Disability Domain

Field	imd04hd
Class	Socio-economic
Length and format	3n
Availability	2003-04 onwards

**Description**

The Index of Multiple Deprivation (IMD) is a measure of multiple deprivation at Super Output Area level.

The IMD has seven domains, one of which is the Health Deprivation and Disability Domain. The purpose of the Health deprivation and disability domain is to identify areas with relatively high rates of:

1. premature deaths
2. impaired quality of life, due to poor health
3. people with disabilities

This version of the Index was first published in 2004.

See *The English Indices of Deprivation 2004: Summary (revised)* report [\[http://www.communities.gov.uk/documents/communities/pdf/131206.pdf\]](http://www.communities.gov.uk/documents/communities/pdf/131206.pdf) for further details.

**Value**

3n = IMD Health and Disability Domain value

<b>Data cleaning</b>	Data not cleaned
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**HES field name** **IMD Income Affecting Older People Index**

Field	imd04ia
Class	Socio-economic
Length and format	3n
Availability	2003-04 onwards

**Description**

The Index of Multiple Deprivation (IMD) is a measure of multiple deprivation at Super Output Area level. The IMD has seven domains, one of which is the Income Deprivation Domain.

The Income Deprivation Affecting Older People Index is a sub-set of the Income Deprivation Domain. The Index contains the percentage of a Super Output Area's population aged 60 and over who are claiming Income Support or Job Seeker's Allowance.

This version of the Index was first published in 2004.

See *The English Indices of Deprivation 2004: Summary (revised)* report [<http://www.communities.gov.uk/documents/communities/pdf/131206.pdf>] for further details.

**Value**

3n = IMD Income Affecting Adults Index value

<b>Data cleaning</b>	Data not cleaned
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**HES field name** **IMD Income Affecting Children Index**

Field	imd04ic
Class	Socio-economic
Length and format	3n
Availability	2003-04 onwards

**Description**

The Index of Multiple Deprivation (IMD) is a measure of multiple deprivation at Super Output Area level. The IMD has seven domains, one of which is the Income Deprivation Domain.

The Income Deprivation Affecting Children Index is a sub-set of the Income Deprivation Domain.

The Index contains the percentage of a Super Output Area's children under 16 who were living in families receiving specific financial support, such as Income Support or Job Seeker's Allowance.

This version of the Index was first published in 2004.

See *The English Indices of Deprivation 2004: Summary (revised)* report [\[http://www.communities.gov.uk/documents/communities/pdf/131206.pdf\]](http://www.communities.gov.uk/documents/communities/pdf/131206.pdf) for further details.

**Value**

3n = IMD Income Affecting Children Index value

<b>Data cleaning</b>	Data not cleaned
----------------------	------------------

**HES field name** IMD Income Domain

Field	imd04i
Class	Socio-economic
Length and format	3n
Availability	2003-04 onwards

**Description**

The Index of Multiple Deprivation (IMD) is a measure of multiple deprivation at Super Output Area level. The IMD has seven domains, one of which is the Income Deprivation Domain.

The purpose of this Domain is to capture the proportions of the population experiencing income deprivation in an area.

This version of the Index was first published in 2004.

See *The English Indices of Deprivation 2004: Summary (revised)* report [\[http://www.communities.gov.uk/documents/communities/pdf/131206.pdf\]](http://www.communities.gov.uk/documents/communities/pdf/131206.pdf) for further details.

**Value**

3n = IMD Income Domain value

Data cleaning	Data not cleaned
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**HES field name** IMD Living Environment Domain

Field	imd04le
Class	Socio-economic
Length and format	4n
Availability	2003-04 onwards

**Description**

The Index of Multiple Deprivation (IMD) is a measure of multiple deprivation at Super Output Area level. The IMD has seven domains, one of which is the Living Environment domain.

The Living Environment domain focuses on deprivation with respect to the characteristics of the living environment.

This version of the Index was first published in 2004.

See *The English Indices of Deprivation 2004: Summary (revised)* report [\[http://www.communities.gov.uk/documents/communities/pdf/131206.pdf\]](http://www.communities.gov.uk/documents/communities/pdf/131206.pdf) for further details.

**Value**

4n = IMD Living Environment Domain value

Data cleaning	Data not cleaned
---------------	------------------

**HES field name** IMD Overall Ranking

Field	imd04rk
Class	Socio-economic
Length and format	5n
Availability	2003-04 onwards

**Description**

The IMD overall ranking is made by combining the seven IMD Domain scores using the following weights:

- Income (22.5%)
- Employment (22.5%)
- Health Deprivation and Disability (13.5%)
- Education, Skills and Training (13.5%)
- Barriers to Housing and Services (9.3%)
- Crime (9.3%)
- Living Environment (9.3%).

The SOA (Super Output Area) with a rank of 1 is the most deprived, and 32482 the least deprived, on this overall measure.

This IMD version was first published in 2004.

See *The English Indices of Deprivation 2004: Summary (revised)* report [\[http://www.communities.gov.uk/documents/communities/pdf/131206.pdf\]](http://www.communities.gov.uk/documents/communities/pdf/131206.pdf) for further details.

**Value**

5n = IMD Overall Ranking

<b>Data cleaning</b>	Data not cleaned
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**HES field name** NHS number status indicator

Field	nhsnoid
Class	System
Length and format	2n
Availability	2003-04 onwards

**Description**

Codes in this field indicate whether the patients' NHS Number is present, and if it is verified. If the NHS Number is absent, the indicator gives the reason why.

**Value**

01 = Number present and verified  
 02 = Number present but not traced  
 03 = Trace required  
 04 = Trace attempted – no match or multiple match found  
 05 = Trace needs to be resolved – NHS Number or patient detail conflict  
 06 = Trace in progress  
 07 = Number not present and trace not required  
 08 = Trace postponed (baby under six weeks old)  
 91 = Patient identity withheld (derived from SUS if not equal to 90) (from 2009-10 onwards)

Data cleaning	None
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**HES field name** NHS provided HA/PCT of residence

Field	har/pctnhs
Class	System
Length and format	5an
Availability	From 2003-04 onwards

**Description**

The patient's health authority or primary care trust (PCT) of residence provided by the NHS.

**Value**

5an = Health authority/PCT of residence  
 499 = Non-UK Provider  
 X98 = Unknown

Data cleaning	None
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**HES field name** Record identifier

Field	attendkey
Class	System
Length and format	8n
Availability	2003-04 onwards

## Description

This is a record identifier that is created by the system. The eight digits store a decimal number.

## Value

8n = Record identifier

Data cleaning	Not applicable
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**HES field name** CDS extract date

Field	cdsextdate
Class	System
Length and format	dd/mm/yyyy (Date)
Availability	2007-08, Quarter 3 onwards

## Description

CDS extract date.

## Value

dd/mm/yyyy = Date of CDS extract

Data cleaning	Data not cleaned
---------------	------------------

**HES field name**    **CDS protocol identifier**

Field	cdsverprotid
Class	System
Length and format	3an
Availability	2007-08, Quarter 3 onwards

**Description**

CDS Protocol ID.

**Value**

3an = CDS Protocol Identifier

<b>Data cleaning</b>	Data not cleaned
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**HES field name**    **CDS unique identifier**

Field	cdsuniqueid
Class	System
Length and format	35an
Availability	2007-08, Quarter 3 onwards

**Description**

CDS unique identifier.

**Value**

35an = CDS Unique identifier

<b>Data cleaning</b>	Data not cleaned
----------------------	------------------

**HES field name**    **SUS record ID**

Field	susrecid
Class	System
Length and format	14n
Availability	2007-08, Quarter 3 onwards

**Description**

SUS generated record identifier.

**Value**

14n = SUS record ID

<b>Data cleaning</b>	Data not cleaned
----------------------	------------------

**HES field name**    **SUS loaded staging date**

Field	suslddate
Class	System
Length and format	dd/mm/yyyy (Date)
Availability	2007-08, Quarter 3 onwards

**Description**

The date that the data was loaded into the SUS staging system.

**Value**

dd/mm/yyyy = SUS loaded staging date

<b>Data cleaning</b>	Data not cleaned
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**HES field name**    **SUS generated spell ID**

Field	susspellid
Class	System
Length and format	10n
Availability	2009-10 onwards

**Description**

SUS generated spell ID

**Value**

10n = SUS spell ID

Null = Records that have excluded from PbR in SUS as the activity is outside the scope of PbR

<b>Data cleaning</b>	Data not cleaned
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